

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-00422

Chester J. Derek, claimant vs. Gruntal & Co. Inc., respondent

ATTORNEYS:

Claimant appeared Pro Se, Saginaw, MI

For Respondent Gruntal & Co. Inc. appeared in-house counsel, David Rappaport, Esq., New York, NY

DATE FILED: January 31, 2000

CASE SUMMARY: Claimant alleged respondent encouraged him to buy various, unsuitable in-house common stock.

Claim Data

Claim: \$14,522.50
Filing Fees: \$425.00
Interest: \$978.30
Atty Fees: unspecified

Award Data

Award: \$.00
Filing Fees: \$.00
Interest: \$.00
Atty Fees: \$.00

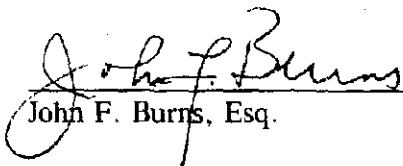
AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$425.00 filing fee previously deposited with *NASD Dispute Resolution, Inc.* by the claimant, shall be retained by *NASD Dispute Resolution, Inc.*

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has paid to *NASD Dispute Resolution, Inc.* the \$400.00 Member Surcharge previously invoiced.

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Award 00-00422

AFFIRMATION

I, John F. Burns, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


John F. Burns, Esq.

August 23, 2000

Date of award