

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE: 00-00605

Michael J. Pfeifer, claimant vs. Brian James Zimmerman and Andrew Garrett Sycoff, respondents.

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**ATTORNEYS:**

Claimant Michael J. Pfeifer, appeared Pro Se., Jersey City, NJ.

Respondent Brian James Zimmerman, appeared Pro Se, New York, NY.

Respondent Andrew Garrett Sycoff appeared Pro Se, New York, NY.

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DATE FILED: February 10, 2000

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CASE SUMMARY: Claimant alleged respondent changed the terms of his employment contract, denying him the right to sell securities.

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**Claim Data**

Claim: \$630.00  
Punitive: \$12,500.00  
Filing Fees: \$.00

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**Award Data**

Award: \$.00  
Punitive: \$.00  
Filing Fees: \$212.50

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AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$425.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 4) Respondents jointly and severally shall pay to claimant \$212.50 as reimbursement for 50% of the filing fee.

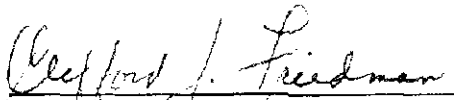
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OTHER FEES: Pursuant to Rule 10333 of the Code, Andrew Garret, Inc. has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

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Award 00-00605

**AFFIRMATION**

I, Clifford J. Friedman, Esq., do hereby affirm, pursuant to article 7507 of the Civil Practice law and Rules, that I am the individual described herein, and who executed this instrument which is my award.

  
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Clifford J. Friedman, Esq.

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September 6, 2000  
Date of award