

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-00651

Daniel T. Schneider and Hildi E. Schneider, claimant vs. Dean Witter Reynolds, Inc., respondent.

ATTORNEYS:

Claimant appeared Pro Se., Sparta, NJ.

For Respondent appeared in-house counsel Keith Guilfoyle, New York, NY.

DATE FILED: February 14, 2000

CASE SUMMARY: Claimants alleged that respondent failed to execute a sell order of 2000 shares of Interactive Telesis - OTCBB in a timely manner.

Claim Data

Claim: \$2,180.00
Punitive: \$2,180.00
Filing Fees: \$.00

Award Data

Award: \$1,500.00
Punitive: \$.00
Filing Fees: \$175.00

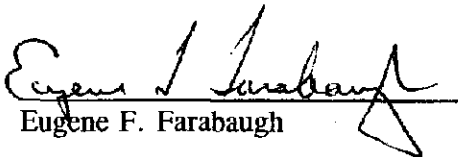
AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimants \$1,500.00. 2) All requests for punitive damages are denied. 3) All other relief requests are denied. 4) The \$175.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimants, shall be retained by NASD Dispute Resolution, Inc. 5) Respondent is liable and shall pay to the claimants \$175.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has paid to NASD Dispute Resolution, Inc. the \$200.00 Member Surcharge previously invoiced.

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Award 00-00651

AFFIRMATION

I, Eugene F. Farabaugh, do hereby affirm, pursuant to Article 7507 of the Civil Practice Laws and Rules, that I am the individual described herein who executed this instrument, which is my oath and award.


Eugene F. Farabaugh

October 24, 2000
Date of Award