

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE: 00-00837

Napoleon Georgatos, claimant vs. New Times Securities Services, Inc., and Mike Silks, respondents.

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**ATTORNEYS:**

Claimant appeared Pro Se, Jamaica, NY.

Respondent, Michael Silk, appeared Pro Se, Plainview, NY.

Respondent, New Times Securities Services, Inc., did not respond to the Statement of Claim.

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DATE FILED: February 25, 2000

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CASE SUMMARY: Claimant alleged that respondents withheld his commissions because another broker in his group had a debit balance in his commission account.

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**Claim Data**

Claim: \$1,928.35

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**Award Data**

Award: \$.00

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AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$75.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

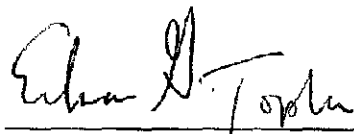
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OTHER ISSUES: Prior to the arbitrator's decision, all claims against respondent New Times Securities Services, Inc. were stayed by order of the United States District Court for the Eastern District of New York.

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Award 00-00837

**AFFIRMATION**

I, Edward G. Toptani, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.

A handwritten signature in cursive script, appearing to read "Edward G. Toptani", is written over a horizontal line.

Edward G. Toptani, Esq.

November 15, 2000

Date of Award