

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-00883

Marion Bass Securities Corporation, claimant vs. Raymond R. Blum, respondent.

ATTORNEYS:

For Claimant appeared Dana C. Siragusa, Esq., Atlanta, GA.

Respondent appeared Pro Se., Cabins, WV.

DATE FILED: February 29, 2000

CASE SUMMARY: Claimant alleged that respondent was unjustly enriched from the proceeds of Canadian Securities mistakenly transferred into his account. Claimant further alleged that respondent is liable for conversion for the possession and control of such funds.

Claim Data

Claim: \$23,300.65
Interest: unspecified
Atty Fees: unspecified
Filing Fees: unspecified

Award Data

Award: \$.00
Interest: \$.00
Atty Fees: \$.00
Filing Fees: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for attorney fees are denied. 3) All other relief requests are denied. 4) The \$1,050.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.


OTHER FEES: Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

OTHER ISSUES: The arbitrator has denied Claimant's Motion to Bar Respondent's Late Answer.

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Award 00-00883

AFFIRMATION

I, Arlene Grant, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Arlene Grant

November 15, 2000
Date of Award