

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-00896

Paul L. Struck, claimant vs. Dean Witter Reynolds, Inc., respondent.

ATTORNEYS:

Claimant appeared Pro Se., Milwaukee, WI.

For respondent appeared in-house counsel, Ronald E. Wood, Esq., San Francisco, CA.

DATE FILED: February 29, 2000

CASE SUMMARY: Claimant alleged that respondents failed to execute an account transfer in a timely manner.

Claim Data

Claim: \$3,302.53
Punitive: \$6,607.59

Award Data

Award: \$.00
Punitive: \$.00

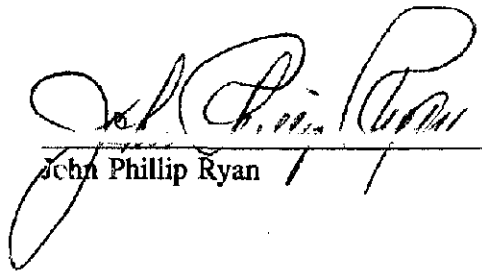
AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for punitive damages are denied. 3) All other relief requests are denied. 4) The \$325.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

Page Two
Award 00-00896

AFFIRMATION

I, John Phillip Ryan, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


John Phillip Ryan

00-00896
Date of award