

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-00925

Dean Witter Reynolds, Inc., claimant vs. Andrew Vrsalovic, respondent.

ATTORNEYS:

For Claimant appeared Stephen S. Berkeley, Esq., of the firm Kane & Fischer, Ltd., Chicago, IL.

For Respondent appeared Edward V. Sapone, Esq., New York, NY.

DATE FILED: March 2, 2000

CASE SUMMARY: Claimant alleged that the respondent participated in claimant's Account Executive training program. Respondent terminated his employment prior to the time period agreed upon in the terms of the Agreement and is, therefore, liable for repayment of monies owed under the Agreement.

Claim Data

Claim: \$25,000.00
Interest: @ legal rate from 10/7/98
to date of award
Atty Fees: Unspecified
Filing Fees: Unspecified
Other: \$.00

Award Data

Award: \$15,000.00
Interest: @ 9% per annum
from 10/7/98 - 10/20/00
Atty Fees: \$.00
Filing Fees: \$525.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$15,000.00. 2) Respondent is liable and shall pay to the claimant interest at a rate of 9% per annum from 10/07/98 to 10/20/00. 3) All requests for attorney fees are denied. 4) All other relief requests are denied. 5) The \$1050.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 6) Respondent is liable and shall pay claimant \$525.00 as reimbursement for half of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

OTHER ISSUES: Prior to the arbitrator rendering his final decision, he denied respondent's request for a hearing.

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AFFIRMATION

I, Richard S. Peskin, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.



Richard S. Peskin, Esq.

January 4, 2001

Date of Award