

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE: 00-00941

Carine V. Alma, claimant vs. David W. Ormes, respondent.

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**ATTORNEYS:**

Claimant appeared Pro Se., Garden City, NY.

For Respondent appeared Kim Amendola, Compliance Assistant for Ormes Capital Markets, Inc., New York, NY.

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DATE FILED: March 3, 2000

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**CASE SUMMARY:** Claimant alleged that respondent failed to pay the remaining balance due on a report that he requested.

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**ARBITRATOR'S REPORT:** Claimant pursued this matter after executing a release and did not respond to inquiries as to facts. Claimant appears to have proceeded in bad faith.

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**Claim Data**

Claim: \$1,036.00  
Filing Fees: \$50.00  
Other: \$40.00  
Other: \$964.00

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**Award Data**

Award: \$.00  
Filing Fees: \$.00  
Other: \$.00  
Other: \$00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$75.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

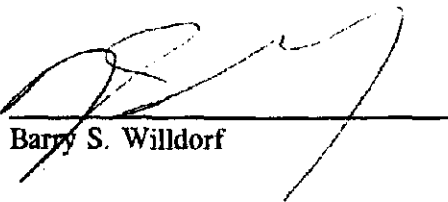
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**OTHER FEES:** Pursuant to Rule 10333 of the Code, Ormes Capital Markets, Inc. has paid to NASD Dispute Resolution, Inc. the \$150.00 Member Surcharge previously invoiced.

Page Two  
Award 00-00941

**AFFIRMATION**

I, Barry S. Willdorf, Esq., do hereby affirm, pursuant to article 7507 of the Civil Practice law and Rules, that I am the individual described herein, and who executed this instrument which is my award.

  
Barry S. Willdorf

January 19, 2001  
Date of Award