

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-00955

Robert M. Aquila, Jr., Claimant vs. E*Trade Securities, Inc., Respondent.

ATTORNEYS:

Claimant, Robert M. Aquila, Jr., ("Claimant"), appeared Pro Se., Mt. Sinai, NY.

Respondent, E*Trade Securities, Inc. ("Respondent"), appeared through its Compliance Manager, Bob Salvador, Menlo Park, CA.

DATE FILED: March 3, 2000

CASE SUMMARY: Claimant alleged that on January 10, 2000 he placed a limit order to buy 7,000 shares of Cellular Product, Inc. ("CELP") at \$.02. Claimant further alleged that on January 12, 2000 he placed another order for 7,000 shares of CELP, and the order was filled at \$.32 instead of \$.02. Claimant maintained that the order should not have been filled as he did not have sufficient funds in his account.

Claim Data

Claim: \$2,339.95
Filing Fees: Unspecified
Other: Unspecified

Award Data

Award: \$.00
Filing Fees: \$37.50
Other: \$.00

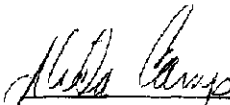
AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$75.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc. 4) Respondent is liable and shall pay Claimant \$37.50 as reimbursement for half of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution, Inc. the \$150.00 Member Surcharge previously invoiced.

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Award 00-00955

AFFIRMATION

I, Alida D. Camp, do hereby affirm, pursuant to article 7507 of the Civil Practice law and Rules, that I am the individual described herein, and who executed this instrument which is my award.



Alida D. Camp

November 3, 2000

Date of Award