

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

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CASE: 00-00989

Wesley L. Nash, claimant vs. Andrew F. Dunlap, Bryan Mascoe and Crowell Weedon & Co., respondents.

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**ATTORNEYS:**

Claimant appeared Pro Se., Miami, FL.

Respondents Andrew F. Dunlap, Bryan Mascoe and Crowell, Weedon & Co., appeared through James L. Cronk, Partner of Crowell, Weedon & Co., Los Angeles, CA.

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DATE FILED: March 6, 2000

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CASE SUMMARY: Claimant alleged he sold 3347 shares of CU Bankcorp stock based on representation made by respondent Andrew Dunlap.

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**Claim Data**

Claim: \$13,689.23  
Punitive: \$10,000.00  
Filing Fees: \$.00

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**Award Data**

Award: \$.00  
Punitive: \$.00  
Filing Fees: \$212.50

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AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$425.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 4) Respondents are jointly and severally liable and shall pay claimant \$212.50 as reimbursement for 50% of the filing fee.

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ARBITRATOR'S REPORT: Insufficient evidence to sustain claim or that Respondents engaged in any acts of prohibited conduct which would justify award to claimant.

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OTHER FEES: Pursuant to Rule 10333 of the Code, respondent Crowell Weedon & Co. has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

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Award 00-00989

**AFFIRMATION**

I, Richard Conner, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
Richard Conner

October 31, 2000  
Date of award