

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-01003

Mitzi Suzanne Pasch, Claimant vs. Rogers & Co., LLC/Corporate Securities Group, d/b/a JWGenesis, Carol Lynn Rogers and Kathryn Goossens, Respondents.

ATTORNEYS:

Claimant, Mitzi Suzanne Pasch, ("Claimant"), appeared Pro Se., Chesterfield, MO.

For Respondent, JWGenesis Financial Services, Inc., ("JWGenesis"), appeared in-house counsel, Charles E. Scarlett, Esq., Boca Raton, FL.

Respondents Carol L. Rogers and V. Kathryn Goossens appeared Pro Se., St. Louis, MO.

DATE FILED: March 7, 2000

CASE SUMMARY: Claimant alleged that Respondent, Rogers & Co., individually and through its agents, failed to pay commissions for services rendered.

Claim Data

Claim: \$9,661.00
Other: unspecified

Award Data

Award: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$325.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent, JWGenesis Financial Services, Inc., has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

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Award 00-01003

AFFIRMATION

I, Sandra D. Deters, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

Sandra D. Deters

Sandra D. Deters

February 23, 2001

Date of award