

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-01011

David J. Froode and Dianne J. Froode JTEN, Claimants vs. TD Waterhouse Investor Services, Inc., respondent.

ATTORNEYS:

Claimant appeared Pro Se, through David J. Froode, West Linn, OR.

For Respondent appeared Janis Campanella, Esq., Assistant Counsel, Waterhouse Securities, Inc., New York, NY.

DATE FILED: March 7, 2000

CASE SUMMARY: Claimant alleged that after he placed market orders online, he was unable to determine the status of these orders via respondent's electronic system. Claimant further alleged that he was misinformed regarding his ability to trade Allnet Services stock online.

Claim Data

Claim: \$13,891.00
Interest: @9% per annum
Filing Fees: \$450.00

Award Data

Award: \$.00
Interest: \$.00
Filing Fees: \$.00

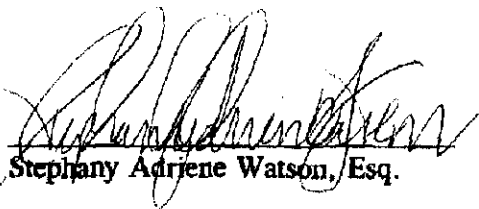
AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$425.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

Page Two
Award 00-01011

AFFIRMATION

I, Stephany Adriene Watson, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Stephany Adriene Watson, Esq.

March 28, 2001
Date of award