

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-03368

Leona Weiner, Claimant vs. Craig Oakes and Jeffrey Matthews Financial Group, LLC,
Respondents.

ATTORNEYS:

Claimant Leona Weiner ("Claimant"), appeared Pro Se., New York, NY.

For Respondents Craig Oakes and Jeffrey Matthews Financial Group, LLC, ("Respondents"),
appeared Scott L. Fagin, Chief Compliance Officer, Millburn, NJ.

DATE FILED: August 7, 2000

CASE SUMMARY: Claimant alleged that Respondents provided her with false and misleading
information, and as a result has suffered loss of income, removal of a withholding tax, and
cumulative late interest payments.

Claim Data

Claim: \$3,616.72
Interest: Unspecified

Filing Fees: \$175.00
Other: Unspecified

Award Data

Award: \$3,616.72
Interest: at the rate of 9% per annum
from 6/1/00 to payment of the award
Filing Fees: \$175.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of
the issues submitted for determination as follows: 1) Respondents are jointly and severally liable
and shall pay to the Claimant \$3,616.72. 2) Respondents are jointly and severally liable and
shall pay to the Claimant interest at the rate of 9% per annum accruing from June 1, 2000 to
payment of the award. 3) All other relief requests are denied. 4) The \$175.00 filing fee
previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by
NASD Dispute Resolution, Inc. 5) Respondents are jointly and severally liable and shall pay
to the Claimant \$175.00 as reimbursement of the filing fee.

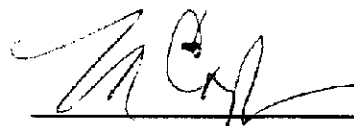
OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent, Jeffrey Matthews Financial
Group, LLC has paid to the NASD Dispute Resolution, Inc. \$150.00 of the \$200.00 Member
Surcharge previously invoiced.

OTHER ISSUE: Respondent Graig Oakes request for Expungement was denied by the arbitrator.

Page Two
Award 00-03368

AFFIRMATION

I, Marti Copleman, do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.



Marti Copleman

February 14, 2001
Date of award