

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-03702

Sidney Paul Griffin, Jr., claimant vs. John Parker and Suntrust Securities, Inc., respondents.

ATTORNEYS:

Claimant appeared Pro Se, Altamonte, FL.

For Respondents appeared Richard H. Bergman, Esq., of the firm Bergman and Jacobs, P.A., Miami, FL.

DATE FILED: August 24, 2000

CASE SUMMARY: Claimant alleged that respondent failed to execute a stop loss order in his account causing financial losses.

Claim Data

Claim: \$8,625.00

Interest: \$.00

Filing Fees: Unspecified

Award Data

Award: \$8,625.00

Interest: 10% per annum from
7/21/00-date of award

Filing Fees: \$325.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant against respondent John Parker are dismissed in their entirety. 2) Respondent, Suntrust Securities, Inc., is liable and shall pay to the claimant \$8,625.00. 3) Respondent, Suntrust Securities, Inc., is liable and shall pay claimant interest at the rate of 10% per annum from July 21, 2000 to the date of the award. 4) The \$325.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 5) Respondent, Suntrust Securities, Inc., is liable and shall pay claimant \$325.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent, Suntrust Securities, Inc., has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

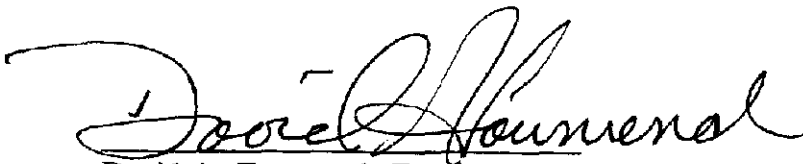
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Award 00-03702

David A. Townsend, Esq.

Sole Public Arbitrator

AFFIRMATION

I, David A. Townsend, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


David A. Townsend, Esq.

May 31, 2001
Date of award