

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE: 00-03715

PaineWebber Incorporated, Claimant vs. William E. Nix, Respondent.

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**ATTORNEYS:**

For Claimant appeared Romaine L. Gardener, Esq., Staten Island, NY.

Respondent did not respond to the Statement of Claim.

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**DATE FILED:** August 25, 2000

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**CASE SUMMARY:** Claimant alleged that Respondent failed to pay balance due and owing on a forgivable loan.

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**Claim Data**

Claim: \$6,958.62  
Interest: \$2,667.46  
Atty Fees: \$2,887.82  
Filing Fees: \$1,450.00

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**Award Data**

Award: \$6,958.62  
Interest: \$2,667.46  
Atty Fees: \$2,887.82  
Filing Fees: \$750.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$6,958.62 2) Respondent is liable and shall pay claimant interest in the amount of \$2,667.46 3) Respondent is liable and shall pay to the Claimant attorney fees in the amount of \$2,887.82 according to claimant's request. 4) All other relief requests are denied. 5) The \$750.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc. 6) Respondent is liable and shall pay claimant \$750.00 as reimbursement of the filing fee.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

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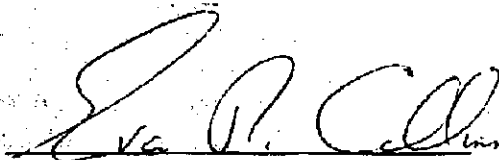
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Evan R. Collins, Jr.

Sole Industry Arbitrator

**AFFIRMATION**

I, Evan R. Collins, Jr., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
Evan R. Collins, Jr.

6/6/01  
Date of award

June 20, 2001  
Date of service (for NASD office use only)