

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-03716

Beverly F. Cook, Claimant vs. Sondra B. Robbins & Hibernia Investments, LLC, Respondents.

ATTORNEYS:

Claimant, Beverly F. Cook, ("Claimant"), appeared Pro Se, Baton Rouge, LA.

Respondents, ("Respondents"), appeared through their in-house counsel Gary L. Ryan, Esq., New Orleans, LA.

DATE FILED: August 25, 2000.

CASE SUMMARY: Claimant alleged that he was billed a sales charge of \$632.32 for an unauthorized trade conducted by Hibernia Investments. Claimant further alleged that Respondents admitted to liability and thus should reimburse Claimant for the sales charge. As a result of Respondents' actions, Claimant suffered a loss.

Claim Data

Claim: \$632.32
Filing Fees: \$.00
Other: unspecified

Award Data

Award: \$.00
Filing Fees: \$.00
Other: \$.00

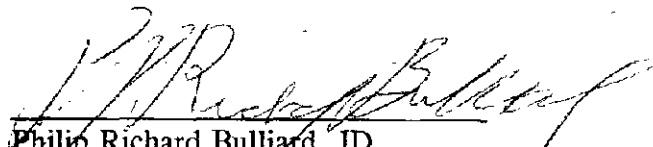
AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$50.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent, Hibernia Investments, LLC, has paid to NASD Dispute Resolution, Inc. the \$150.00 Member Surcharge previously invoiced.

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Award 00-03716

AFFIRMATION

I, Philip Richard Bulliard, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Philip Richard Bulliard, JD

March 8, 2001
Date of award