

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE: 00-03757

Alicia O. Magno, Claimant vs. Albert Fritz and Gerwin Group, Inc. f/k/a Gerwin Stinziano Securities, Respondents.

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**ATTORNEYS:**

Claimant, Alicia O Mango, appeared Pro Se, St. Clair Shores, MI.

Respondent, Albert Fritz, appeared Pro Se, Sherman Oaks, CA.

Respondent, Gerwin Group, Inc. appeared through its President, Christopher L. Gerwin, Sherman Oaks, CA.

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**DATE FILED:** August 29, 2000

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**CASE SUMMARY:** Claimant alleged misrepresentation, dishonesty and fraud to acquire money. Claimant further alleged racial discrimination.

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**ARBITRATOR'S REPORT:** This Arbitrator finds that there is no basis for the claims made by Claimant Magno and therefore dismisses the case in its entirety. Any attorneys' fees shall be born by the individual parties, as will the NASD fee. Claimant entered into the investment freely and was put on notice of the risk factors involved in both the Subscription Agreement, and the Private Placement Memorandum. Claimant's problems with First Trust should be dealt with directly between Claimant and First Trust. There is no evidence of misrepresentation, dishonesty or fraud, neither is there any evidence of racial discrimination.

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**Claim Data**

Claim: \$10,000.00  
Other: unspecified

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**Award Data**

Award: \$.00  
Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$325.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc.

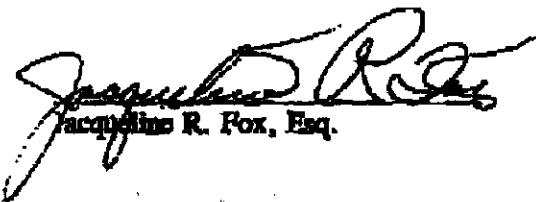
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**OTHER FEES:** Pursuant to Rule 10333 of the Code, Respondent, Gerwin Group, Inc. has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

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Award 00-03757

**AFFIRMATION**

I, Jacqueline R. Fox, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
Jacqueline R. Fox, Esq.

April 19, 2001  
Date of award