

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-03776

Henry O. Fuchs, Claimant vs. John J. Melville, Respondent.

ATTORNEYS:

Claimant appeared Pro Se, Fairfax, VA.

Respondent appeared through Gary R. Irwin, Vice President and Group Counsel, American Express Financial Advisors, Inc., Minneapolis, MN.

DATE FILED: August 29, 2000.

CASE SUMMARY: Claimant alleged Respondent failed to timely transfer IRA accounts awarded to him by court order.

Claim Data

Claim: \$1933.64
Atty Fees: \$441.00
Filing Fees: \$125.00

Award Data

Award: \$.00
Atty Fees: \$.00
Filing Fees: \$.00


AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The Claimant's claims are dismissed in their entirety. 2) All requests for attorney fees are denied. 3) All other relief requests are denied. 4) The \$75.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent, American Express Financial, has paid to NASD Dispute Resolution, Inc. the \$150.00 Member Surcharge previously invoiced.

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Award 00-03776

AFFIRMATION

I, Jeffery M. Cohen, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Jeffery M. Cohen

March 8, 2001

Date of award