

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:00-04004

Millennium Securities Corp., claimant vs. Jonathan Adwar and Adam Forman, respondents.

ATTORNEYS:

For claimant appeared Rick Cardillo, Esq., in-house counsel, New York, NY

Respondent Jonathan Adwar appeared Pro Se, Woodmere, NY.

Respondent Adam Forman appeared Pro Se, Amity Harbor, NY.

DATE FILED: September 13, 2000.

CASE SUMMARY: Claimant alleged that each respondent owes \$6,000.00 for a loan given to them. Claimant further alleged that the respondents have refused to pay the outstanding monies owed.

Claim Data

Claim: \$6,000.00 from Respondent Adwar

Claim: \$6,000.00 from Respondent Forman

Other: unspecified

Filing Fees: unspecified

Award Data

Award: \$4,500.00 against
Respondent Adwar.

Award: \$6,000.00 against
Respondent Forman.

Other: \$0.00

Filing Fees: \$800.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent Jonathan Adwar is liable and shall pay to the claimant \$4,500.00. 2) Respondent Adam Forman is liable and shall pay to the claimant \$6,000.00. 3) All other relief requests are denied. 4) The \$800.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 5) Respondents are jointly and severally liable and shall pay claimant \$800.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

OTHER ISSUES: Claimant's Motion for a default judgement was granted by the arbitrator.

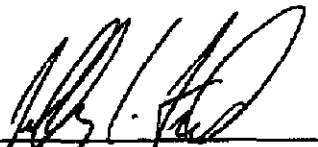
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Award 00-04004

Jeffrey L. Friedman, Esq.

Sole Non-Public Arbitrator

AFFIRMATION

I, Jeffrey L. Friedman, do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.



Jeffrey L. Friedman, Esq.

7/2/01

Signature Date

July 12, 2001

Date of Service (For NASD Office Use Only)