

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-04059

Thomas Edward Gallup, Claimant vs. VMR Capital Markets, U.S., Respondent.

ATTORNEYS:

Claimant, Thomas Edward Gallup, ("Claimant") appeared Pro Se, Torrance, CA.

For respondent appeared James A. Shalvoy, Esq., Manhattan Beach, CA.

DATE FILED: September 18, 2000.

CASE SUMMARY: Claimant alleged that Respondent failed to pay him for the time that he worked from February 1, 2000 to February 15, 2000. Claimant stated that Respondent's failure to compensate him caused him to incur a financial loss.

Claim Data

Claim: \$750.00

Punitive: \$1,500.00

Other: unspecified

Award Data

Award: \$750.00

Punitive: \$.00

Other: \$.00

Claim Data

Claim: \$750.00

Punitive: \$1,500.00

Other: unspecified

AWARD: The undersigned Arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$750.00. 2) All requests for punitive damages are denied. 3) All other relief requests are denied. 4) Claimant is liable and shall pay to NASD Dispute Resolution, Inc., \$37.50, one-half of the previously waived filing fee. 5) Respondent is liable and shall pay to NASD Dispute Resolution, Inc., \$37.50, one-half of the previously waived filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution, Inc. the \$150.00 Member Surcharge previously invoiced.

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AFFIRMATION

I, Peter D. Best, do hereby affirm, upon my oath as Arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Peter D. Best

April 27, 2001
Date of award