

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-04142

Charles Schwab & Co., Inc., Claimant vs. Vijaya V. Panthaki, Respondent.

ATTORNEYS:

For Claimant appeared Brandon K. Hemley, Esq., Charles Schwab & Company, Inc., San Francisco, CA.

Respondent did not make an appearance in this matter.

DATE FILED: September 21, 2000

CASE SUMMARY: Claimant alleged that the Respondent failed to repay debit balance.

Claim Data

Claim: \$24,785.64
Interest: 10% p.a. from 6/1/01
until paid.
Attv Fees: unspecified
Costs: \$1,050.00
Other: unspecified

Award Data

Award: 24,785.64
Interest: 10% p.a. from 6/1/01
until paid.
Attv Fees: denied
Costs: \$1,050.00
Other: denied

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$24,785.64. 2) Respondent is liable and shall pay to the Claimant Interest at the rate of 10% per annum from June 1, 2001 until paid. 3) All requests for attorney fees are denied. 4) All other relief requests are denied. 5) The \$1,050.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc. 6) Respondent is liable and shall pay claimant \$1,050.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

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Award 00-04142

AFFIRMATION

I, Robert Warren McCallum, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

Robert Warren McCallum

Robert Warren McCallum

Date of award

June 20, 2001

Date of service (for NASD office use only)