

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-04264

Ferris Baker Watts, Inc., Claimant vs. Ido and Thelma Colantuoni, Respondents.

ATTORNEYS:

For Claimant appeared Theodore W. Urban, Esq., Ferris, Baker Watts, Inc., Washington, DC.

Respondents appeared Pro Se, McLean, VA.

DATE FILED: September 27, 2000

CASE SUMMARY: Claimant alleged that Respondents failed to repay a debit balance created in their account from May 2000 when Respondents' account equity declined below minimum maintenance requirements.

Claim Data

Claim: \$17,800.49

Interest: \$576.07

Punitive: unspecified

Atty Fees: unspecified

Costs: unspecified

Other: unspecified

Award Data

Award: \$17,800.49

Interest: 8% p.a. from 5/24/00
to date of award

Punitive: denied

Atty Fees: denied

Costs: \$1,050.00

Other: denied

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondents are jointly and severally liable and shall pay to the Claimant \$17,800.49. 2) Respondents are jointly and severally liable and shall pay to the Claimant Interest at the rate of 8% per annum from May 24, 2000 to date of award. 3) All requests for punitive damages are denied. 4) All requests for attorneys' fees are denied. 5) All other relief requests are denied. 6) The \$1,050.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc. 7) Respondents are jointly and severally liable and shall pay claimant \$1,050.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Claimant has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

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OTHER ISSUES: The arbitrator has determined that respondents' counterclaim is dismissed without prejudice in accordance with Rule 10302 of the Code of Arbitration Procedure.

AFFIRMATION

I, Mark E. Rubin, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

Mark E. Rubin
Mark E. Rubin, Esq.

6/28/01
Date of award

July 3, 2001
Date of service (for NASD office use only)