

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-04287

PaineWebber Incorporated, Claimant vs. Mouna Zubi, Respondent.

ATTORNEYS:

For Claimant appeared Romaine L. Gardner, Esq., Staten Island NY.

Respondent did not respond to the Statement of Claim.

DATE FILED: September 28, 2000

CASE SUMMARY: Claimant alleged that Respondent purchased stocks and the check that was deposited into Claimant's account to cover the cost was returned for insufficient funds. Claimant further alleged that Respondent has failed to repay the debit in the account.

Claim Data

Claim: \$5,987.39
Interest: 10.25% p.a. from 5/21/00-
8/21/00.
Atty Fees: \$1,842.24
Filing Fees: \$1,050.00

Award Data

Award: \$5,987.39
Interest: 9% p.a. from 7/14/00 through
3/28/01.
Atty Fees: \$.00
Filing Fees: \$750.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$5,987.39 2) Respondent is liable and shall pay to the Claimant interest at the rate of 9% per annum from July 14, 2000 to March 28, 2001. 3) All requests for attorney fees are denied. 4) All other relief requests are denied. 5) The \$750.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc. 6) Respondent is liable and shall pay Claimant \$750.00 as reimbursement of the filing fee.

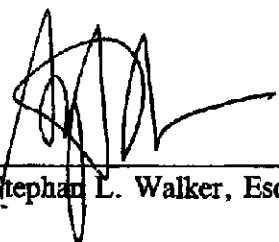
OTHER FEES: Pursuant to Rule 10333 of the Code, Claimant has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

OTHER ISSUES: Pursuant to the By-Laws of NASD Dispute Resolution, the Arbitrator determined that Respondent was served notice of the Statement of Claim and Notification of Arbitrator by regular mail, and Overdue Notice by certified mail, as evidenced by the signed signature card on file, and is therefore bound by the Arbitrator's ruling and determination.

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AFFIRMATION

I, Stephan L. Walker, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Stephan L. Walker, Esq.

May 15, 2001

Date of award

June 7, 2001

Date of Service(for NASD office use)