

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-04289

Nena Morse, Claimant vs. Prudential Securities, Inc., Respondent.

ATTORNEYS:

Claimant, Nena Morse, ("Claimant"), appeared Pro Se., Las Vegas NV.

Respondents, Prudential Securities, Inc. ("Respondent") appeared through its in-house-counsel, Marci B. Gold, Esq. New York, NY.

DATE FILED: September 28, 2000

CASE SUMMARY: Claimant alleged that Respondent fraudulently collected dividend checks that should have been paid to her. Claimant maintained that despite Respondent's acknowledgement of receiving the checks, Respondent has failed to turn over the proceeds to her.

Claim Data

Claim: \$8,021.80
Interest: \$3,880.00
Filing Fees: unspecified
Other: unspecified

Award Data

Award: \$.00
Interest: \$.00
Filing Fees: \$325.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for interest are denied. 3) All other relief requests are denied. 4) The \$325.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc. 5) Respondent is liable and shall pay Claimant \$325.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

OTHER ISSUES: The Arbitrator denied Respondent's Motion to Dismiss. Respondent's request for attorney fees is denied.

Page Two
Award 00-04289

Patrick J. Halter

Sole Public Arbitrator

AFFIRMATION

I, Patrick J. Halter, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

Patrick J. Halter
Patrick J. Halter

May 30, 2001
Date of award