

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:00-04475

Global Resource Investments, Ltd., claimant vs. John P. Kafka and Janet M. Kafka, respondents.

ATTORNEYS:

For Claimant appeared Robert E. Werbicky, Esq. of the firm Jolley Urga Wirth & Woodbury, Las Vegas, NV.

Respondent John P. Kafka appeared Pro Se, Las Vegas, NV.

Respondent Janet M. Kafka did not appear.

DATE FILED: October 9, 2000.

CASE SUMMARY: Claimant alleged that respondents failed to repay the outstanding debt on their margin account.

Claim Data

Claim: \$12,247.37
Interest: pre and post award

Attorney Fees: unspecified
Filing Fees: unspecified
Other: unspecified

Award Data

Award: \$12,247.37
Interest: at the legal rate from
November 24, 2000 to the date
of the award.
Attorney Fees: \$.00
Filing Fees: \$1,050.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Claims of claimant are dismissed without prejudice against respondent Janet Kafka. 2) Respondent John P. Kafka is liable and shall pay to the claimant \$12,247.37. 3) Respondent John P. Kafka is liable and shall pay claimant interest at the legal rate from November 24, 2000 until the date of the award. 4) All requests for attorney fees are denied. 5) All other relief requests are denied. 6) The \$1,050.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 7) Respondent John P. Kafka is liable and shall pay claimant \$1,050.00 as reimbursement of the filing fee.


OTHER FEES: Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

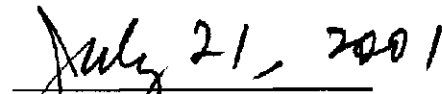
Herbert Murez, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Herbert Murez, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Herbert Murez, Esq.

Signature Date

August 16, 2001
Date of Service (for NASD Office Use Only)