

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-04512

Martin Goodman, Claimant vs. Ed Patzer and Ronald Menges, Respondents.

ATTORNEYS:

Claimant, Martin Goodman, appeared Pro Se, Santa Fe, New Mexico.

For Respondents, Edward L. Patzer and Ronald S. Menges, appeared Michael Nacarrato, Esq., St. Louis, Missouri.

DATE FILED: October 11, 2000

CASE SUMMARY: Claimant alleged that due to respondents' unsuitable recommendations and misrepresentations regarding his stock portfolio, he suffered financial losses.

Claim Data

Claim: \$5,368.00
Punitive: \$2,500.00
Filing Fees: Unspecified
Other: Unspecified

Award Data

Award: \$.00
Punitive: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for punitive damages are denied. 3) All other relief requests are denied. 4) The \$325.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, A. G. Edwards & Sons, Inc. has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

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Award 00-04512

Thomas J. Schuchert, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Thomas J. Schuchert, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

Thomas Schuchert
Thomas Schuchert

2/13/02
Signature Date

February 14, 2002
Date of Service (For NASD-DR office use only)