

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-04915

Jackson National Life Distributors, Inc., Claimant vs. Yankee Financial Group, Inc.,
Respondent.

ATTORNEYS:

For Claimant, Jackson National Life Distributors, Inc., ("Claimant"), appeared
Philip C. Korovesis, Esq., of the Firm Butzel Long, Detroit, MI.

Respondent, Yankee Financial Group, Inc., ("Respondent"), appeared through its President,
Richard F. Kresge, Bay Shore, NY.

DATE FILED: November 6, 2000

CASE SUMMARY: Claimant alleged that Respondent received commission payments in error
from two variable annuity contracts that were sold. Claimant maintained that despite a payment
schedule that was reached with Respondent, Respondent has failed to repay the commission
owed.

Claim Data

Claim: \$12,885.18
Interest: unspecified

Attorney Fees: unspecified
Other: unspecified

Award Data

Award: \$12,885.18
Interest: @ 9% per annum from
9/4/00 to 2/13/02, totaling \$1,642.60
Attorney Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of
the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the
Claimant \$12,885.18. 2) Respondent is liable and shall pay to the Claimant interest at a rate
of 9% per annum from 9/14/00 to 2/13/02 totaling \$1,642.60. 3) All requests for attorney fees
are denied. 4) All other relief requests are denied. 5) The \$1050.00 filing fee previously
deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD
Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute
Resolution, Inc. the \$400.00 Member Surcharge previously invoiced. Claimant has not paid to
the NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

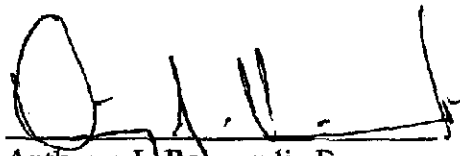
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Award 00-04915

Anthony J. Raimondi, Esq.

Sole Non-Public Arbitrator

AFFIRMATION

I, Anthony J. Raimondi, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.



Anthony J. Raimondi, Esq.

3-22-02

Signature Date

March 27, 2002

Date of Service (For NASD-DR office use only)