

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-04937

H & R Block Financial Advisors, claimant vs. Stefan Shanni, respondent.

ATTORNEYS:

For Claimant appeared in-house counsel, Kurt M. Miller, Esq., Detroit, MI.

Respondent did not appear.

DATE FILED: November 7, 2000

CASE SUMMARY: Claimant alleged that respondent failed to repay a debit balance created in his account.

Claim Data

Claim: \$16,889.18

Interest: \$881.14

Attorney Fees: Unspecified

Filing Fees: \$1,125.00

Other: Unspecified

Forum Fees: \$.00

Award Data

Award: \$16,889.18

Interest: @ 8% p.a. from
3/20/00 - date of award

Attorney Fees: \$.00

Filing Fees: \$750.00

Other: \$.00

Forum Fees: \$450.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$16,889.18. 2) Respondent is liable and shall pay claimant interest at a rate of 8% per annum from March 20, 2000 - date of award. 3) All requests for attorney fees are denied. 4) All other relief requests are denied. 5) The \$1,200.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 6) Respondent is liable and shall pay claimant \$750.00 as reimbursement of a portion of the filing fee. 7) Respondent is liable and shall **pay to NASD Dispute Resolution, Inc., \$450.00** in forum fees for an initial pre-hearing conference held on January 22, 2002.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

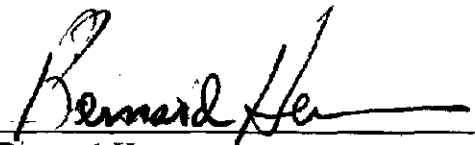
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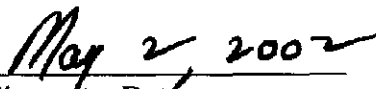
Bernard Herman

Sole Public Arbitrator

AFFIRMATION

I, Bernard Herman, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Bernard Herman


Signature Date

May 10, 2002
Date of Service (For NASD-DR office use only)