

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:00-04954

Janeen Hirson, Claimant vs. Datek Online Brokerage, LLC, Respondent.

ATTORNEYS:

Claimant Janeen Hirson ("Claimant"), appeared Pro Se, Irvine, CA.

For Respondent Datek Online Brokerage, LLC, (Respondent") appeared G. Thomas Fleming III, Esq., of the firm Jones, Bell, Abbott, Fleming, & Fitzgerald, LLP, Los Angeles, CA.

DATE FILED: November 8, 2000.

CASE SUMMARY: Claimant alleged that Respondents froze her account and denied her access to her account. Claimant further alleged that Respondent was negligent in the handling of her account and failed to provide proper supervision for her account. Claimant maintained that as a result of respondent actions, she suffered financial losses.

Claim Data

Claim: \$5,000.00
Filing Fees: \$175.00
Other: unspecified

Award Data

Award: \$.00
Filing Fees: \$87.50
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$175.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc. 4) Respondent shall pay Claimant \$87.50 as reimbursement of half the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution, Inc. the \$200.00 Member Surcharge previously invoiced.

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Award 00-04954

William C. Turner, Esq.


Sole Public Arbitrator

AFFIRMATION

I, William C. Turner, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



William C. Turner, Esq.



Signature Date

October 23, 2001
Date of Service (for NASD Office Use Only)