

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:00-05091

Marvin P. Brown, claimant vs. The Investor's Advocate, LLC, and Steve Maczka, respondents.

ATTORNEYS:

Claimant appeared Pro Se, Craftsbury Common, VT.

Respondents appeared Pro Se through Steve Maczka, Grand Blanc, MI.

DATE FILED: November 16, 2000

CASE SUMMARY: Claimant alleged that respondents, breached their contractual agreement and failed to repay commissions due him.

Claim Data

Claim: \$800.00

Punitive: \$200.00

Interest: unspecified

Filing Fees: unspecified

Award Data

Award: \$800.00

Punitive: \$.00

Interest: at the legal rate for the state
of Michigan per annum from
September 1, 2000 up to date
of payment of the award.

Filing Fees: \$50.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondents are jointly and severally liable and shall pay to the claimant \$800.00. 2) Respondents are jointly and severally liable and shall pay to the claimant interest at the legal rate for the state of Michigan per annum from September 1, 2000 until date of payment of the award. 3) All requests for punitive damages are denied. 4) All other relief requests are denied. 5) The \$50.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 6) Respondents are jointly and severally liable and shall pay claimant \$50.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has not paid to NASD Dispute Resolution, Inc. the \$150.00 Member Surcharge previously invoiced.

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Award 00-05091

Douglas S. Flaherty

Sole Non-Public Arbitrator

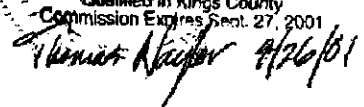
AFFIRMATION

I, Douglas S. Flaherty, do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.


Douglas S. Flaherty

9/26/01
Signature Date

THOMAS NAYLOR
Notary Public, State of New York
No. 01NA8031287
Qualified in Kings County
Commission Expires Sept. 27, 2001


October 17, 2001
Date of Service (For NASD-DR office use only)