

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 00-05122

Nealon Scoones, claimant vs. Ameritrade, Inc., respondent.

ATTORNEYS:

Claimant, Nealon Scoones appeared Pro Se, Chicago, IL.

For Respondent, Ameritrade, Inc., appeared James J. Vihstadt, in house counsel, Bellevue, NE.

DATE FILED: November 17, 2000

CASE SUMMARY: Claimant alleged that respondent failed to properly process a "sell to close order" resulting in financial losses.

Claim Data

Claim: \$5,626.63
Filing Fees: \$325.00
Other: unspecified

Award Data

Award: \$5,625.63
Filing Fees: \$325.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$5,625.63. 2) All other relief requests are denied. 3) The \$325.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 4) Respondent is liable and shall pay claimant \$325.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

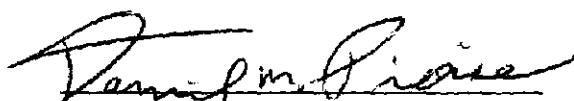
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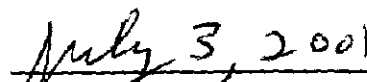
Daniel M. Pierce, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Daniel M. Pierce, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Daniel M. Pierce, Esq.


Signature Date

July 24, 2001
Date of Service (for NASD office use only)