

NASD Dispute Resolution, Inc.

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IN ARBITRATION BEFORE THE NASD REGULATION, INC.

CHARTER ONE SECURITIES, INC.

Claimant,

v.

DANIEL ANTIOHO, et al.

Respondents.

NASD No. 00-058020 2002

AGREED ORDER IN ARBITRATION

Pursuant to the Settlement Agreement of all parties to this arbitration, the Arbitration Panel empanelled to hear this case enters the following Agreed Order in Arbitration:

1. The Form U-5 Uniform Termination Notices for Securities Industry Registration ("U-5") filed with the NASD Regulation's Central Registration Depository ("CRD") system by Charter One Securities for Daniel Antioho and Maria Wojcik-Llamas are to be expunged by the CRD to the extent they state the reasons for their November 3, 2000 terminations from Charter One Securities as "permitted to resign" and set forth descriptions of the terminations. The new U-5 termination notices on file in the CRD system will reflect that Mr. Antioho's and Ms. Wojcik-Llamas' terminations from Charter One Securities on November 3, 2000, were both "voluntary."

2. This expungement order is pursuant to the parties' settlement of all disputed claims in this arbitration, with no party admitting any liability to any other party.

3. This Agreed Order in Arbitration can be confirmed by the Honorable Dorothy Kinnaird of the Circuit Court of Cook County, Illinois, who has retained jurisdiction over this matter.

4. Upon completion of the matters set forth in Paragraph 1 above, as well as the other conditions set forth in the parties' confidential Settlement Agreement, the parties agree that

all claims raised in this arbitration are to be dismissed with prejudice. The parties will send a letter to the NASD staff attorney for this matter informing the NASD that all conditions to the dismissal with prejudice have been satisfied, thereby triggering the dismissal with prejudice.

SO ORDERED:



Chairperson Lee Goodman

Dated: 2-15-2002

Arbitrator Peter Ordower

Dated: _____

Arbitrator James E. Green

Dated: _____

all claims raised in this arbitration are to be dismissed with prejudice. The parties will send a letter to the NASD staff attorney for this matter informing the NASD that all conditions to the dismissal with prejudice have been satisfied, thereby triggering the dismissal with prejudice.

SO ORDERED:

Chairperson Lee Goodman

Dated: _____



Arbitrator Peter Ordower

Dated: 2/14/02

Arbitrator James E. Green

Dated: _____

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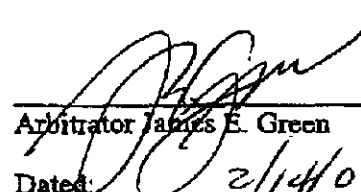
SO ORDERED:

Chairperson Lee Goodman

Dated: _____

Arbitrator Peter Ordower

Dated: _____



Arbitrator James E. Green

Dated: 2/14/02

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