

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE:00-05470

Julian L. Alfonso, claimant vs. Northridge Capital Corp., respondent.

---

**ATTORNEYS:**

Claimant appeared Pro Se, Miami, FL.

Respondent failed to submit a response to the Statement of Claim.

---

DATE FILED: December 11, 2000

---

**CASE SUMMARY:** Claimant alleged that respondent, his former employer, failed to pay him one month of his salary.

---

**Claim Data**

Claim: \$5,400.00

Filing Fees: \$.00

---

**Award Data**

Award: \$5,400.00

Filing Fees: \$325.00

---

**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$5,400.00. 2) All other relief requests are denied. 3) The \$325.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 4) Respondent is liable and shall pay claimant \$325.00 as reimbursement of the filing fee.

---

**OTHER FEES:** Pursuant to Rule 10333 of the Code, respondent has not paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

---

**OTHER ISSUES:** Pursuant to the By-Laws of NASD Dispute Resolution, the arbitrator determined that respondent was served notice of the Statement of Claim by regular and certified mail, Overdue Notice by certified mail, and Notification of Arbitrator by regular mail, as evidenced by the signed signature card on file and is therefore bound by the arbitrator's ruling and determination.

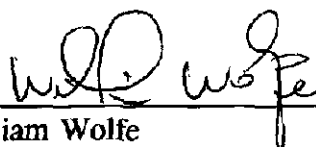
Page Two  
Award 00-05470

William Wolfe

Sole Non-Public Arbitrator

**AFFIRMATION**

I, William Wolfe, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
William Wolfe

9-28-2001  
Signature Date

October 17, 2001  
Date of Service (For NASD-DR office use only)