
Award
NASD Dispute Resolution

In the Matter of the Arbitration Between:

Name of the Claimant

Kenneth Greenblatt

Case Number: 01-00130

Name of the Respondents

Comprehensive Capital Corporation and
Ronald A. Brodis

Hearing Site: Boca Raton, Florida

Customer vs. Member Firm and Associated Person.

REPRESENTATION OF PARTIES

For Kenneth Greenblatt ("Greenblatt"), hereinafter referred to as "Claimant": Ezio Scaldaferri, Esq., Feder, Kaszovitz, Isaacson, Weber, Skala & Bass, L.L.P., New York, New York.

For Respondent Comprehensive Capital Corporation ("CCC"): Howard N. Kahn, Esq., Kahn & Chenkin, Hollywood, Florida.

For Respondent Ronald A. Brodis ("Brodis"): Chris Kammerer, Esq., Levy, Kneen, Marriani, L.L.C., West Palm Beach, Florida.

CASE INFORMATION

Statement of Claim filed on or about: January 10, 2001.

Claimant signed the Uniform Submission Agreement: October 15, 2000.

Statement of Answer filed by Respondent CCC on or about: June 6, 2001.

Respondent CCC signed the Uniform Submission Agreement: March 23, 2001.

Statement of Answer filed by Respondent Brodis on or about: April 11, 2003.

Respondent Brodis did not file an executed Uniform Submission Agreement.

CASE SUMMARY

Claimant asserted a cause of action for breach of settlement agreement. The cause of action relates to options to purchase 20,000 shares of Frontline Communications Corp; 30,000 shares of Frontline; 5,000 shares of Nextel; \$100,000.00 worth of shares of ISHOP.COM in the event such entity is spun off from Frontline; 50,000 shares of Yourself.com in the event such entity effectuates a public offering.

Unless specifically admitted in their Answers, Respondents CCC and Brodis denied the allegations made in the Statement of Claim and asserted various affirmative defenses.

RELIEF REQUESTED

Claimant requested compensatory damages of \$475,000.00; punitive damages of \$500,000.00; costs and disbursements, including reasonable attorneys' fees; and for such other relief as the Panel deemed just and proper.

Respondent CCC requested that the Panel enter an order dismissing the Statement of Claim; attorneys' fees; costs; and for such other and further relief as the Panel deemed just and proper.

Respondent Brodis requested that Claimant's claims be denied and dismissed; that he be awarded reasonable costs and attorneys' fees.

OTHER ISSUES CONSIDERED AND DECIDED

Respondent Brodis did not file with NASD Dispute Resolution a properly executed submission agreement but is required to submit to arbitration pursuant to the NASD Code of Arbitration Procedure (the "Code") and having answered the claim, appeared and testified at the hearing, is bound by the determination of the Panel on all issues submitted.

The parties agreed that the Award in this matter may be executed in counterpart copies or that a handwritten, signed Award may be entered.

AWARD

After considering the pleadings and the testimony and evidence presented at the hearing, the Panel has decided in full and final resolution of the issues submitted for determination as follows:

All claims asserted by Claimant are dismissed.

Any and all claims for relief not specifically addressed herein, including the Claimant's request for punitive damages and the parties' requests for attorneys' fees, are denied.

FEES

Pursuant to the NASD Code of Arbitration Procedure (the "Code"), the following fees are assessed:

Filing Fees

NASD Dispute Resolution will retain or collect the non-refundable filing fees for each claim:

Initial claim filing fee = \$ 375.00

Member Fees

Member fees are assessed to each member firm that is a party in these proceedings or to the member firms that employed the associated persons at the time of the events giving rise to the dispute. Respondent CCC is no longer a member firm of NASD. However, as Respondent CCC participated in this matter, the following fees are assessed:

Member surcharge	= \$2,000.00
Pre-hearing process fee	= \$ 600.00
Hearing process fee	= \$3,500.00
Total Member Fees	= \$6,100.00

Adjournment Fees

Adjournments granted during these proceedings for which fees were assessed:

January 15, 2002, adjournment requested by all parties.	= \$1,200.00
May 28, 2002, adjournment requested by Respondent CCC.	= \$1,200.00
October 23, 2002, adjournment requested by Claimant.	= \$1,200.00
March 26, 2003, adjournment requested by all parties.	= \$1,500.00
June 17, 2003, adjournment requested by all parties.	= \$1,500.00
Total Adjournment Fees	= \$6,600.00

The Panel assessed \$2,600.00 of the adjournment fees to Claimant.

The Panel assessed \$2,600.00 of the adjournment fees to Respondent CCC.

The Panel assessed \$1,400.00 of the adjournment fees to Respondent Brodis.

Injunctive Relief Fees

Injunctive relief fees are assessed to each member or associated person who files for a temporary injunction in court. Parties in these cases are also assessed arbitrator travel expenses and costs when an arbitrator is required to travel outside his or her hearing location and additional arbitrator honoraria for the hearing for permanent injunction. These fees, except the injunctive relief surcharge, are assessed equally against each party unless otherwise directed by the panel.

No injunctive relief fees were incurred in this matter.

Forum Fees and Assessments

The Panel has assessed forum fees for each session conducted. A session is any meeting between the parties and the arbitrators, including a pre-hearing conference with the arbitrators, that lasts four (4) hours or less. Fees associated with these proceedings are:

Two (2)Pre-hearing sessions with a single arbitrator @ \$450.00	= \$ 900.00
Pre-hearing conferences: April 10, 2002 1 session	
March 3, 2003 1 session	
Two (2) Pre-hearing sessions with the Panel @ \$1,200.00	= \$2,400.00
Pre-hearing conferences: July 12, 2001 1 session	
May 17, 2002 1 session	

Eight (8) Hearing sessions @ \$1,200.00 = \$ 9,600.00

Hearing Dates: November 17, 2003 2 sessions
November 18, 2003 2 sessions
November 19, 2003 2 sessions
November 20, 2003 2 sessions

Total Forum Fees = \$12,900.00

The Panel assessed forum fees of \$4,300.00 to Claimant.

The Panel assessed forum fees of \$4,300.00 to Respondent CCC.

The Panel assessed forum fees of \$4,300.00 to Respondent Brodis.

Administrative Costs

Administrative costs are expenses incurred due to a request by a party for special services beyond the normal administrative services. These include, but not limited to, additional copies of arbitrator awards, copies of audio transcripts, retrieval of documents from archives, interpreters, and security.

No administrative costs were incurred in this matter.

Fee Summary

Claimant is solely liable for:

Initial Filing Fee	= \$ 375.00
Adjournment Fee	= \$ 2,600.00
<u>Forum Fees</u>	<u>= \$ 4,300.00</u>
Total Fees	= \$ 7,275.00
<u>Less payments</u>	<u>= \$ 1,575.00</u>
Balance Due NASD Dispute Resolution	= \$ 5,700.00

Respondent CCC is solely liable for:

Member Fees	= \$ 6,100.00
Adjournment Fees	= \$ 2,600.00
<u>Forum Fees</u>	<u>= \$ 4,300.00</u>
Total Fees	= \$13,000.00
<u>Less payments</u>	<u>= \$ 7,100.00</u>
Balance Due NASD Dispute Resolution	= \$ 5,900.00

Respondent Brodis is solely liable for:

Adjournment Fees	= \$ 1,400.00
<u>Forum Fees</u>	<u>= \$ 4,300.00</u>
Total Fees	= \$ 5,700.00
<u>Less payments</u>	<u>= \$ 0.00</u>
Balance Due NASD Dispute Resolution	= \$ 5,700.00

All balances are payable to NASD Dispute Resolution and are due upon receipt pursuant to Rule 10330(g) of the Code.

ARBITRATION PANEL

<i>Ivan Vega</i>	-	<i>Public Arbitrator, Presiding Chairperson</i>
<i>Sherry Puro</i>	-	<i>Public Arbitrator</i>
<i>Donald R. McGahan</i>	-	<i>Non-Public Arbitrator</i>

Concurring Arbitrators' Signatures

<u>/s/</u>	<u>12/05/03</u>
Ivan Vega	Signature Date
Public Arbitrator, Presiding Chairperson	

<u>/s/</u>	<u>12/06/03</u>
Sherry Puro	Signature Date
Public Arbitrator	

<u>/s/</u>	<u>12/07/03</u>
Donald R. McGahan	Signature Date
Non-Public Arbitrator	

12/10/03
Date of Service (For NASD Dispute Resolution office use only)

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ARBITRATION PANEL

Ivan Vega
Sherry Puro
Donald R. McGahan

Public Arbitrator, Presiding Chairperson
Public Arbitrator
Non-Public Arbitrator

Concurring Arbitrators' Signatures



Ivan Vega
Public Arbitrator, Presiding Chairperson

DECEMBER 5, 2003

Signature Date

Sherry Puro
Public Arbitrator

Signature Date

Donald R. McGahan
Non-Public Arbitrator

Signature Date

Date of Service (For NASD Dispute Resolution office use only)

ARBITRATION PANEL

Ivan Vega	-	Public Arbitrator, Presiding Chairperson
Sherry Puro	-	Public Arbitrator
Donald R. McGahan	-	Non-Public Arbitrator

Concurring Arbitrators' Signatures

Ivan Vega
Public Arbitrator, Presiding Chairperson

Signature Date

Sherry Puro
Sherry Puro
Public Arbitrator

12/6/03
Signature Date

Donald R. McGahan
Non-Public Arbitrator

Signature Date

Date of Service (For NASD Dispute Resolution office use only)

ARBITRATION PANEL

<i>Ivan Vega</i>	-	<i>Public Arbitrator, Presiding Chairperson</i>
<i>Sherry Puro</i>	-	<i>Public Arbitrator</i>
<i>Donald R. McGahan</i>	-	<i>Non-Public Arbitrator</i>

Concurring Arbitrators' Signatures

Ivan Vega
Public Arbitrator, Presiding Chairperson

Signature Date

Sherry Puro
Public Arbitrator

Signature Date


Donald R. McGahan
Non-Public Arbitrator


Signature Date

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