

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-00198

Pei Pei Zheng and Richard Chow, Claimants vs. Charles Schwab, Respondent.

ATTORNEYS:

Claimants appeared Pro Se, Rockville, MD.

For Respondent appeared Jane M. Weston, Esq., in-house counsel, San Francisco, CA.

DATE FILED: January 16, 2001

CASE SUMMARY: Claimant alleged that while placing an order on respondent's online trading program that a higher buy price was recorded rather than the lower price they placed, thereby causing claimant's to buy the ICGE stock at the higher price.

Claim Data

Claim: \$5,840.63
Other: unspecified

Award Data

Award: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimants are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$325.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimants, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has not paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

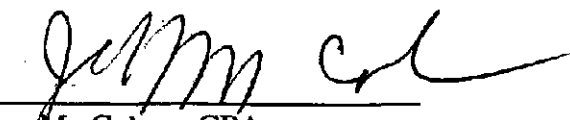
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Award 01-00198

Jeffery M. Cohen, CPA

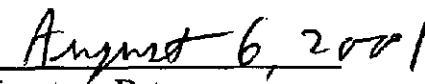
Sole Public Arbitrator

AFFIRMATION

I, Jeffery M. Cohen, CPA, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Jeffery M. Cohen, CPA



Signature Date

August 17, 2001

Date of Service (for NASD Office Use Only)