

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-00289

First Allied Securities, Inc., claimant vs. Barry Bruner, respondent.

ATTORNEYS:

For Claimant appeared Marion J. Vomhof, Esq., in-house counsel, San Diego, CA.

Respondent appeared Pro Se, Denver, CO.

DATE FILED: January 19, 2001

CASE SUMMARY: Claimant alleged that respondent failed to repay a Forgivable Loan.

Claim Data

Claim: \$9,253.45

Interest: pre- and post judgement
interest at the legal rate

Filing Fees: unspecified

Award Data

Award: \$7,589.68

Interest: at 8% per annum
from October 27, 2000 until
August 20, 2001.

Filing Fees: \$750.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$7,589.68. 2) Respondent is liable and shall pay to the claimant interest at a rate of 8% per annum from October 27, 2000 until August 20, 2001. 3) All other relief requests are denied. 4) The \$750.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 5) Respondent is liable and shall pay claimant \$750.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.


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Award 01-00289

Dennis A. Graham, Esq.

Sole Non-Public Arbitrator

AFFIRMATION

I, Dennis A. Graham, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Dennis A. Graham, Esq.

10-8-01
Signature Date

October 17, 2001
Date of Service (For NASD-DR office use only)