

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-00290

GKN Securities Corp., Inc., claimant vs. Dennis Bodenstein, respondent.

ATTORNEYS:

For Claimant appeared Michael S. Colombo, Vice President, New York, NY.

For Respondent appeared Thomas J. Hanrahan, Esq., Glen Rock, NJ.

DATE FILED: January 19, 2001

CASE SUMMARY: Claimant alleged that respondent failed to repay monies owed on a promissory note due upon his termination of employment.

Claim Data

Claim: \$6,666.00

Attorney Fees: unspecified

Filing Fees: unspecified

Interest: unspecified

Other: unspecified

Award Data

Award: \$6,666.00

Attorney Fees: \$.00

Filing Fees: \$375.00

Interest: at 10% per annum from
November 15, 2000 until date
of payment of the award.

Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$6,666.00. 1) Respondent is liable and shall pay to the claimant interest at a rate of 10% per annum from November 15, 2000 until the date of payment of the award. 2) All requests for attorney fees are denied. 3) All other relief requests are denied. 4) The \$750.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 5) Respondent is liable and shall pay claimant \$375.00 as reimbursement of one-half of the filing fee.

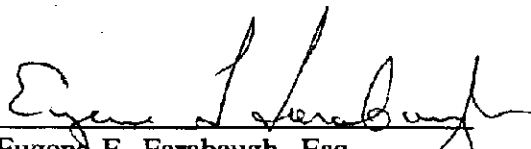
OTHER FEES: Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

Eugene F. Farabaugh, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Eugene F. Farabaugh, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.


Eugene F. Farabaugh, Esq.

9/24/01
Signature Date

October 17, 2001
Date of Service (For NASD-DR office use only)