

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE:01-00302

Robert W. Baird & Co., Incorporated, claimant vs. Brett A. Virgin, respondent.

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**ATTORNEYS:**

For Claimant appeared Brian D. Trexell, Esq., in-house counsel, Milwaukee, WI.

For Respondent appeared Lawrence M. Merlin, Esq. of the firm Friedman, Dever & Merlin, LLC, Atlanta, GA.

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**DATE FILED:** January 19, 2001

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**CASE SUMMARY:** Claimant alleged that respondent failed to submit monies owed as a result of an error made by respondent when a market order was placed in a customer's account rather than a sell limit order.

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**Claim Data**

Claim: \$5,812.50  
Interest: unspecified  
Attorney Fees: unspecified  
Filing Fees: unspecified  
Other: unspecified

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**Award Data**

Award: \$.00  
Interest: \$.00  
Attorney Fees: \$.00  
Filing Fees: \$.00  
Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for attorney fees are denied. 3) All requests for interest are denied. 4) All other relief requests are denied. 5) The \$725.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

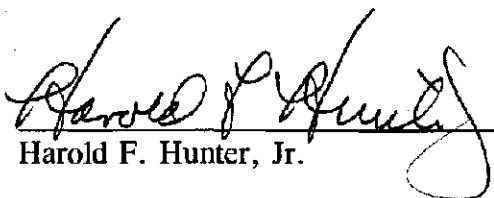
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Award 01-00302

Harold F. Hunter, Jr.

Sole Public Arbitrator

**AFFIRMATION**

I, Harold F. Hunter, Jr., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
Harold F. Hunter, Jr.

8-13-01  
Signature Date

September 26, 2001  
Date of Service (for NASD Office Use Only)