

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-00326

Dean Witter Reynolds, Inc., claimant vs. Steven Leveille, respondent.

ATTORNEYS:

For Claimant appeared Michael A. Kraft, Esq. of the firm Kane & Fischer, Ltd., Chicago, IL.

Respondent appeared Pro Se, Chicago, IL.

DATE FILED: January 22, 2001

CASE SUMMARY: Claimant alleged that respondent breached his employment agreement when he failed to repay monies owed under the agreement.

Claim Data

Claim: \$25,000.00
Interest: unspecified
Attorney Fees: unspecified
Filing Fees: unspecified
Other: unspecified

Award Data

Award: \$25,000.00
Interest: \$.00
Attorney Fees: \$.00
Filing Fees: \$1,050.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$25,000.00. 2) All requests for interest are denied. 3) All requests for attorney fees are denied. 4) All other relief requests are denied. 5) The \$1,050.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 6) Respondent is liable and shall pay claimant \$1,050.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

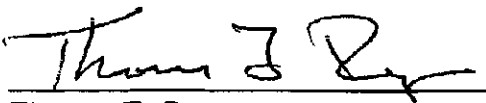
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Thomas F. Ryan

Sole Non-Public Arbitrator

AFFIRMATION

I, Thomas F. Ryan, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Thomas F. Ryan

10-29-01
Signature Date

November 15, 2001
Date of Service (For NASD-DR office use only)