

**Award**  
**NASD Dispute Resolution, Inc.**

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In the Matter of the Arbitration Between:

Joseph E. Camardese, Jr., (Claimant) vs. The Boston Group and Robert A. Diminico,  
(Respondents)

Case Number: 01-00341

Hearing Site: Washington, D.C.

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**REPRESENTATION OF PARTIES**

Claimant, Joseph E. Camardese, Jr., hereinafter referred to as "Claimant", represented himself

Respondents, The Boston Group ("TBG") and Robert A. Diminico ("Diminico"), hereinafter collectively referred to as "Respondents", did not make appearances in this matter.

**CASE INFORMATION**

Statement of Claim filed on or about: January 22, 2001.

Claimant signed the Uniform Submission Agreement: January 5, 2001.

TBG did not file a Statement of Answer or sign a Uniform Submission Agreement.

Diminico did not file a Statement of Answer or sign a Uniform Submission Agreement.

**CASE SUMMARY**

Claimant asserted the following causes of action: improper distribution of Initial Public Offerings ("IPOs") and failure to disclose critical information. Claimant's claim involved the stocks and warrants of Chicago Pizza & Brewery, Inc. and Sound Source Interactive, Inc.

**RELIEF REQUESTED**

Claimant requested compensatory damages in the amount of \$36,918.00, plus interest, punitive damages in the amount of \$5,000.00, and costs.

**OTHER ISSUES CONSIDERED AND DECIDED**

On March 23, 2001, Respondent Diminico filed for bankruptcy protection under Chapter 7 of the United States Bankruptcy Code. Accordingly, all claims against him were stayed.

Upon review of the file and the representations made by the Claimant, the undersigned arbitrators

(the "Panel") determined that TBG has been properly served with the Statement of Claim and received due notice of the hearing, and that arbitration of the matter would proceed without TBG present, in accordance with the NASD Code of Arbitration Procedure (the "Code").

TBG and Diminico did not file with NASD Dispute Resolution, Inc. properly executed submissions to arbitration but are required to submit to arbitration pursuant to the Code and are bound by the determination of the Panel on all issues submitted.

The parties have agreed that the Award in this matter may be executed in counterpart copies or that a handwritten, signed Award may be entered.

#### AWARD

After considering the pleadings, the testimony and evidence presented at the hearing, the Panel has decided in full and final resolution of the issues submitted for determination as follows:

1. TBG be and hereby is solely liable for and shall pay to Claimant the sum of \$32,612.00 as compensatory damages.
2. TBG be and hereby is solely liable for and shall pay to Claimant the sum of \$175.00, to reimburse Claimant for the filing fee previously paid to NASD Dispute Resolution, Inc.
3. The parties shall bear their respective costs, except as specifically addressed above or below.
3. All other requests for relief not specifically addressed herein are hereby denied in their entirety.

#### FEES

Pursuant to the Code, the following fees are assessed:

##### Filing Fees

NASD Dispute Resolution, Inc. will retain or collect the non-refundable filing fees for each claim:

Initial claim filing fee	= \$ 175.00
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##### Forum Fees and Assessments

The Panel assesses forum fees for each hearing session conducted. A hearing session is any meeting between the parties and the arbitrators, including a pre-hearing conference with the

arbitrators, that lasts four (4) hours or less. Fees associated with these proceedings are:

One (1) Pre-hearing session with Panel x \$600.00 = \$ 600.00  
Pre-hearing conference: November 19, 2001 1 session

One (1) Hearing session x \$600.00 = \$ 600.00  
Hearing Date: April 29, 2002 1 session

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Total Forum Fees = \$1,200.00

The Panel has assessed all of the forum fees against TBG.

Fee Summary

1. Claimant be and hereby is solely liable for:

Initial Filing Fee = \$ 175.00

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Total Fees = \$ 175.00

Less payments = \$ 625.00

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Refund Due Claimant = \$ 450.00

*As stated in the "Award" section above, TBG is solely liable and shall reimburse Claimant for the \$175.00 filing fee.*

2. TBG be and hereby is solely liable for:

Forum Fees = \$1,200.00

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Total Fees = \$1,200.00

Less payments = \$ 0.00

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Balance Due NASD Dispute Resolution, Inc. = \$1,200.00

All balances are due and payable to NASD Dispute Resolution, Inc.

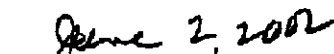
ARBITRATION PANEL

Lois C. Hochhauser, Esq.	-	Public Arbitrator, Presiding Chair
Mary E. Woytek, Esq.	-	Public Arbitrator, Panelist
Louis Paul Sitnik	-	Industry Arbitrator, Panelist

Concurring Arbitrators' Signatures



Lois C. Hochhauser, Esq.  
Public Arbitrator, Presiding Chair

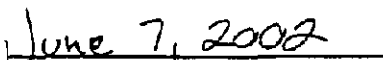
  
Signature Date

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Mary E. Woytek, Esq.  
Public Arbitrator, Panelist

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Signature Date

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Louis Paul Sitnik  
Industry Arbitrator, Panelist

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Signature Date

  
Date of Service (For NASD office use only)

**ARBITRATION PANEL**

Lois C. Hochhauser, Esq.	-	Public Arbitrator, Presiding Chair
Mary E. Woytek, Esq.	-	Public Arbitrator, Panelist
Louis Paul Simik	-	Industry Arbitrator, Panelist

**Concurring Arbitrators' Signatures**

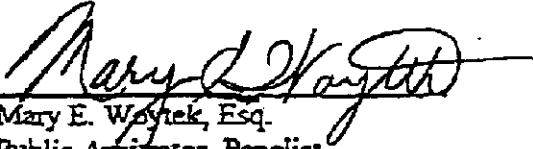
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Lois C. Hochhauser, Esq.  
Public Arbitrator, Presiding Chair

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Signature Date

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Mary E. Woytek, Esq.  
Public Arbitrator, Panelist

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5/31/02  
Signature Date

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Louis Paul Simik  
Industry Arbitrator, Panelist

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Signature Date

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June 7, 2002  
Date of Service (For NASD office use only)

ARBITRATION PANEL

Lois C. Hochhauser, Esq.	-	Public Arbitrator, Presiding Chair
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Public Arbitrator, Presiding Chair

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Signature Date

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Mary E. Woytek, Esq.  
Public Arbitrator, Panelist

\_\_\_\_\_  
Signature Date

Louis Paul Simik

Louis Paul Simik  
Industry Arbitrator, Panelist

5/30/02

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Signature Date

June 7, 2002  
Date of Service (For NASD office use only)