

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE:01-00366

Milton Hardis, Claimant vs. Jerry A. Wolff and James D. Edge, Respondents.

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**ATTORNEYS:**

Claimant appeared *Pro Se*, Deerfield Beach, FL.

For Respondents appeared Nuviah Shirazi, Esq., in-house counsel for A.G. Edwards, St. Louis, MO.

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**DATE FILED:** January 23, 2001

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**CASE SUMMARY:** Claimant alleged that Respondents failed to timely execute a sell order of Auto Nation as requested by the claimant thereby creating a loss in Claimant's account.

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**Claim Data**

Claim: \$3,000.00  
Other: Unspecified

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**Award Data**

Award: \$.00  
Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$175.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, A.G. Edwards and Sons, Inc. has paid to NASD Dispute Resolution, Inc. the \$200.00 Member Surcharge previously invoiced.

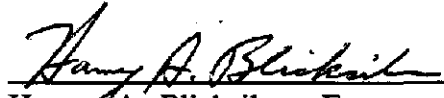
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Award 01-00366.

Harvey A. Blicksilver, Esq.

Sole Public Arbitrator

**AFFIRMATION**

I, Harvey A. Blicksilver, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Harvey A. Blicksilver, Esq.

8-3-01

Signature Date of award

August 17, 2001

Date of Service (for NASD Office Use Only)