

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-00369

Vanguard Capital, claimant vs. David M. Vitale, respondent.

ATTORNEYS:

For Claimant appeared Brian Zaid, in-house counsel, San Diego, CA.

Respondent appeared Pro Se, Pacific Beach, CA.

DATE FILED: January 23, 2001

CASE SUMMARY: Claimant alleged that respondent has failed to pay the debit balance on his margin account.

Claim Data

Claim: \$19,448.35
Attorney Fees: unspecified
Filing Fees: unspecified
Other: unspecified

Award Data

Award: \$19,448.35
Attorney Fees: \$.00
Filing Fees: \$1,050.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$19,448.35. 2) All requests for attorney fees are denied. 3) All other relief requests are denied. 4) The \$1,050.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 5) Respondent is liable and shall pay claimant \$1,050.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

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Award 01-00369

Donald W. Jones

Sole Public Arbitrator

AFFIRMATION

I, Donald W. Jones, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Donald W. Jones

10/5/01
Signature Date

October 17, 2001
Date of Service (For NASD-DR office use only)