

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 01-00373

Buckman, Buckman & Reid, Inc., claimant/counterclaim respondent vs. Kuljot S. Bhasin, respondent/counterclaim claimant.

ATTORNEYS:

For Claimant/Counterclaim Respondent appeared Martin P. Unger, Esq., of the firm Blank Rome Tenzer Greenblatt, LLP, New York, NY.

Respondent/Counterclaim Claimant appeared pro se, New York, NY.

DATE FILED: January 23, 2001

CASE SUMMARY: Claimant alleged that respondent failed to pay for securities he purchased which created a debit balance in his account.

COUNTERCLAIM CASE SUMMARY: Respondent/Counterclaim Claimant alleged that claimant/counterclaim respondent effected trades in his account without his knowledge or authorization which resulted in losses.

Claim Data

Claim: \$20,153.30
Interest: Unspecified
Attorney Fees: Unspecified
Filing Fees: Unspecified
Other: Unspecified

Award Data

Award: \$.00
Interest: \$.00
Attorney Fees: \$.00
Filing Fees: \$.00
Other: \$.00

Counterclaim Data

Claim: \$9,991.94
Filing Fees: Unspecified
Other: Unspecified

Award Data

Award: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for interest are denied. 3) All requests for attorney fees are denied. 4) All other relief requests are denied. 5) The \$1,050.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

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COUNTERCLAIM AWARD: The undersigned arbitrator has decided and determined in full and final resolution the issues submitted for determination as follows: 1) The claims of respondent/counterclaim claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$325.00 counterclaim filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.


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Michelle C. Ifill, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Michelle C. Ifill, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.



Michelle C. Ifill, Esq.



Signature Date

March 11, 2002
Date of Service (For NASD-DR office use only)