

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE: 01-00427

PaineWebber Incorporated, claimant vs. Morgan Pridemore, respondent.

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**ATTORNEYS:**

For Claimant appeared Romaine L. Gardner, Esq., Staten Island, NY.

Respondent did not appear.

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DATE FILED: January 26, 2001

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**CASE SUMMARY:** Claimant alleged respondent made an unsolicited buy of 50,000 shares of Orthalliance Inc-CLA and failed to pay for said shares creating a debit balance in his account.

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**Claim Data**

Claim: \$18,253.01

Interest: unspecified

Attorney Fees: \$3,650.00

Filing Fees: \$1,450.00

Other: unspecified

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**Award Data**

Award: \$18,253.01

Interest: 8% per annum from 1/8/99  
to 1/12/01

Attorney Fees: \$3,650.00

Filing Fees: \$1,050.00

Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$18,253.01. 2) Respondent is liable and shall pay claimant attorney fees in the amount of \$3,650.00 according to claimant's request. 3) Respondent is liable and shall pay claimant interest at a rate of 8% per annum from 1/8/99 to 1/12/01. 4) All other relief requests are denied. 5) The \$1,050.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 6) Respondent is liable and shall pay claimant \$1,050.00 as reimbursement of the filing fee.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

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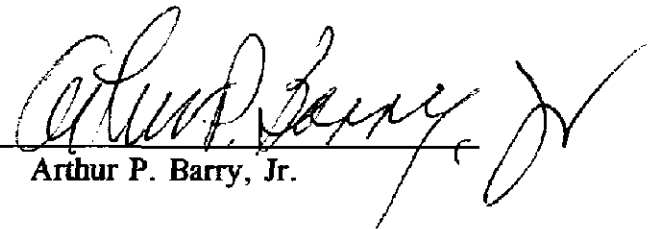
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Arthur P. Barry, Jr.

Sole Public Arbitrator

**AFFIRMATION**

I, Aruthur P. Barry, Jr., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
Arthur P. Barry, Jr.

12-11-01  
Signature Date

December 19, 2001  
Date of Service (For NASD-DR office use only)