

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-00486

William J. Melzer, claimant vs. Mark Pressman Associates, respondent.

ATTORNEYS:

Claimant appeared Pro Se, Mendocino, CA.

For Respondents appeared Mark Pressman, President, San Francisco, CA.

DATE FILED: January 30, 2001

CASE SUMMARY: Claimant alleged that respondent, upon closing his firm, breached the employment agreement by failing to provide the required investment banking fees, commissions and compensation.

Claim Data

Claim: \$6,000.00

Award Data

Award: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$325.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

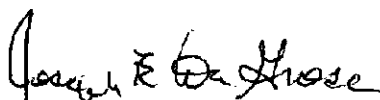
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Joseph E. DaGrosa

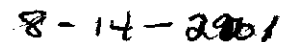
Sole Public Arbitrator

AFFIRMATION

I, Joseph E. DaGrosa, do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.



Joseph E. DaGrosa



Signature Date

September 26, 2001
Date of Service (for NASD Office Use Only)