

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 01-00589

First Union Securities, Incorporated, claimant vs. Jefferey M. Daniels, respondent.

ATTORNEYS:

For claimant appeared Renan I. Sugarman, in-house counsel, Chicago, IL.

Respondent appeared Pro Se Medina, OH.

DATE FILED: February 5, 2001.

CASE SUMMARY: Claimant alleged that respondent failed to repay his outstanding overdraft deficit obligation.

Claim Data

Claim: \$6,505.59
Attorney Fees: \$500.00
Filing fees: \$1,050.00
Interest: \$455.39

Award Data

Award: \$.00
Attorney Fees: \$.00
Filing fees: \$375.00
Interest: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for attorney fees are denied. 3) All requests for interest are denied. 4) All other relief requests are denied. 5) The \$750.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 6) Respondent shall pay claimant \$375.00 as reimbursement of one-half of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

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Award 01-00589

Steven C. Bender, CPA

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Sole Non-Public Arbitrator

AFFIRMATION

I, Steven C. Bender, do hereby affirm, that I am the individual described herein, who executed this instrument, which is my oath and award.

Steven C Bender, CPA
Steven C. Bender, CPA

6-29-01
Signature Date

July 12, 2001
Date of Service (for NASD Office Use Only)