

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 01-00601

Joseph M. Melisky, Claimant vs. J. Christopher Landers & NatCity Investments, Inc.,
Respondents.

ATTORNEYS:

Claimant, Joseph M. Melisky, ("Claimant"), appeared Pro Se, North Olmsted, OH.

Respondents, J. Christopher Landers & NatCity Investments, Inc., (collectively "Respondents"
appeared through their Assistant Compliance Director, Cynthia J. Foresta, Cleveland, OH.

DATE FILED: February 6, 2001

CASE SUMMARY: Claimant alleged that Respondents misrepresented and failed to disclosed
the risk that was involved in his investment of New Century Fund. Claimant maintained that
as a result of Respondents' actions he suffered financial losses.

Claim Data

Claim: \$4,235.45
Filing Fees: \$175.00
Other: unspecified

Award Data

Award: \$3,600.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of
the issues submitted for determination as follows: 1) Respondents are jointly and severally liable
and shall pay to the Claimant \$3,600.00. 2) All other relief requests are denied. 3) The
\$175.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant,
shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent, NatCity Investments, Inc. has
paid to NASD Dispute Resolution, Inc. the \$200.00 Member Surcharge previously invoiced.

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Betty V. Haas

Sole Public Arbitrator

AFFIRMATION

I, Betty V. Haas, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

Betty V. Haas
Betty V. Haas

March 21, 2002
Signature Date

March 26, 2002
Date of Service (For NASD-DR office use only)