

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 01-00723

James P. Wandell, Claimant vs. Family Incoming Planning Inc., Respondent.

ATTORNEYS:

Claimant, James P. Wandell, appeared pro se, Amityville, NY.

For Respondent appeared Jacqueline M. Westbrook, President, Southern Pines, NC.

DATE FILED: February 13, 2001

CASE SUMMARY: Claimant alleged that respondent failed to pay him commissions at 60% as previously agreed to, due to claimant's departure from respondent's firm.

Claim Data

Claim: \$3,802.70
Filing Fees: \$.00

Award Data

Award: \$3,802.70
Filing Fees: \$175.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$3,802.70. 2) All other relief requests are denied. 3) The \$175.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 4) Respondent is liable and shall pay claimant \$175.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has paid to NASD Dispute Resolution, Inc. the \$200.00 Member Surcharge previously invoiced.

Page Two
Case 01-00723

William A. Hohauser

Sole Non-Public Arbitrator

AFFIRMATION

I, William A. Hohauser, do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.

William A. Hohauser
William A. Hohauser

1/28/02
(Signature Date)

February 5, 2002
Date of Service (For NASD-DR office use only)