

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE:01-00735

William V. Rollins, claimant vs. Jason M. Ganton, respondent.

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**ATTORNEYS:**

Claimant appeared Pro Se, Hendersonville, NC.

Respondent appeared Pro Se, Boca Raton, FL.

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**DATE FILED:** February 13, 2001

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**CASE SUMMARY:** Claimant alleged that Respondent overcharged him on commissions, and churned his account thereby creating a loss. Claimant further alleged that respondent misrepresented the cost of interest charges related to his margin account.

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**Claim Data**

Claim: \$9,900.00  
Filing Fees: \$.00

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**Award Data**

Award: \$9,900.00  
Filing Fees: \$325.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$9,900.00. 2) All other relief requests are denied. 3) The \$325.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 4) Respondent is liable and shall pay claimant \$325.00 as reimbursement of the filing fee.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, Emerson Bennett & Associates, has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

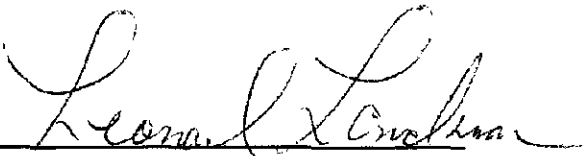
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Award 01-00735

Leonard Landsman

Sole Public Arbitrator

**AFFIRMATION**

I, Leonard Landsman , do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
Leonard Landsman

  
Signature Date

August 1, 2001  
Date of Service (for NASD Office Use Only)