

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-00737

Raymond James & Associates, Inc., claimant vs. Michael Cooper, respondent.

ATTORNEYS:

For Claimant appeared Deborah J. Howard, Esq., in-house counsel, St. Petersburg, FL.

Respondent appeared Pro Se, Dallas, TX.

DATE FILED: February 13, 2001

CASE SUMMARY: Claimant alleged that respondent failed to honor his contractual obligations by failing to repay monies owed under the promissory note he signed.

Claim Data

Claim: \$7,974.00

Interest: Unspecified

Attorney Fees: Unspecified

Filing Fees: Unspecified

Other: Unspecified

Award Data

Award: \$7,974.00

Interest: @ 10% per annum from
12/31/00 until date of the award.

Attorney Fees: \$.00

Filing Fees: \$750.00

Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$7,974.00. 2) Respondent is liable and shall pay to the claimant interest at a rate of 10% per annum from December 31, 2000 until the date of the award. 3) All requests for attorney fees are denied. 4) All other relief requests are denied. 5) The \$750.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 6) Respondent is liable and shall pay claimant \$750.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

OTHER ISSUES: The arbitrator granted claimant's Motion for Default.

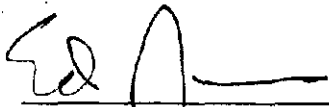
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Edward K. Neuhaus


Sole Non-Public Arbitrator

AFFIRMATION

I, Edward K. Neuhaus, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Edward K. Neuhaus



Signature Date

September 28, 2001
Date of Service (For NASD-DR office use only)